

**A SUBSTITUTE RESOLUTION  
BY FINANCE/ EXECUTIVE COMMITTEE**

**06-R-1636**

**A RESOLUTION AUTHORIZING THE MAYOR OR DESIGNEE TO EXECUTE AN APPROPRIATE CONTRACTUAL AGREEMENT FOR FC-6005007964, SWAP ADVISOR, WITH INVESTMENT MANAGEMENT ADVISORY GROUP, INCORPORATED AND WOODWARD PEACHTREE CAPITAL PARTNERS, ON BEHALF OF THE DEPARTMENT OF FINANCE, AT A RATE OF \$150,000.00 FOR THE FIRST YEAR AND \$100,000.00 FOR EACH ENSUING YEAR, PLUS ONE BASIS POINT OF YIELD ON EACH SWAP CAPPED AT \$100,000.00 PER SWAP COMPLETED FOR A MAXIMUM OF \$200,000.00 FOR SWAP TRANSACTIONS WITH TWO OR MORE COUNTERPARTIES, TO BE CHARGED TO AND PAID FROM FINANCE FUND ACCOUNT AND CENTER NUMBERS; AND FOR OTHER PURPOSES.**

**WHEREAS**, the City of Atlanta ("City") solicited Requests for Proposals from qualified consulting firms for FC-6005007964, SWAP Advisor, on behalf of the Department of Finance, to perform analyses of the City's current swaps, as well as future swaps as proposed by underwriting firms or other financial institutions; and

**WHEREAS**, Investment Management Advisory Group, Incorporated and Woodward Peachtree Capital Partners were determined to be the most responsive and responsible proponents; and

**WHEREAS**, the Chief Financial Officer and the Chief Procurement Officer have recommended that the contract for FC-6005007964, SWAP Advisor, be awarded to Investment Management Advisory Group, Incorporated and Woodward Peachtree Capital Partners, at a rate of \$150,000.00 for the first year and \$100,000.00 for each ensuing year, plus one basis point of yield on each SWAP up to \$100,000.00 per SWAP completed, plus out-of-pocket expenses; and

**WHEREAS**, the contract shall be for a term of three (3) years with a one (1) year renewal option at the sole discretion of the City.

**THE CITY COUNCIL OF THE CITY OF ATLANTA, GEORGIA, HEREBY RESOLVES**, that the Mayor be and is hereby authorized to enter into an agreement for FC-6005007964, SWAP Advisor, to perform analyses of the City's current swaps, as well as future swaps as proposed by underwriting firms or other financial institutions.

**BE IT FURTHER RESOLVED**, that Investment Management Advisory Group, Incorporated and Woodward Peachtree Capital Partners shall be retained at a rate of \$150,000.00 for the first year and \$100,000.00 for each ensuing year, plus one basis point of yield on each SWAP up to \$100,000.00 per SWAP completed for a maximum of \$200,000.00 for SWAP transactions with two or more counterparties.

**BE IT FURTHER RESOLVED**, that the contract shall be for a term of three (3) years with a one (1) year renewal option at the sole discretion of the City of Atlanta.

**BE IT FURTHER RESOLVED**, that said contract shall not become binding upon the City and the City shall incur no liability hereunder until the same has been approved by the City Attorney as to form, signed by the Mayor, attested to by the Municipal Clerk, and delivered to the contracting parties.

**BE IT FINALLY RESOLVED**, that all services for said contracted work shall be charged to and paid from Finance fund account and center numbers.